



Dep/Ref. 307  
#5

PATENT  
ATTORNEY DOCKET NO. 04783/012001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Toshihiro SHIMA

Art Unit : 2776

Serial No.: 09/577,078

Examiner :

Filed : May 23, 2000

Title : PRINTER CAPABLE OF NETWORK CONNECTION AND METHOD FOR  
CONTROLLING SAID PRINTER

Assistant Commissioner for Patents  
Washington, DC 20231

REQUEST FOR REFUND

Applicant reviewed the Monthly Statement of Deposit Account for Deposit Account No. 500-591 dated October 31, 2000, and noticed a charge in the amount of \$42.00 for the above application. This charge is believed to be the difference in fees for filing a utility application based on old fees versus the fees in effect on October 1, 2000.

The above application was filed on May 23, 2000, and deferred payment of the filing fees until a later date. On August 3, 2000, a Notice to File Missing Parts of NonProvisional Application was issued. A response to the Notice of Missing Parts was filed with a certificate of mailing on September 28, 2000, and included the following fees for filing the application: \$690 filing fee, \$468 in extra claims fees, \$260 multiple dependency fee; and a surcharge fee of \$130 for late filing. A copy of the response transmittal with the certificate of timely mailing is attached as Exhibit A. A PTO-stamped postcard showing receipt of the above documents on October 5, 2000, is attached at Exhibit B.

OFFICE OF FINANCE  
REFUND BRANCH  
FEB 28 PM 3:17  
US PATENT & TRADEMARK  
OFFICE

The Applicant's Deposit Account shows a deduction of \$42 on October 10, 2000.

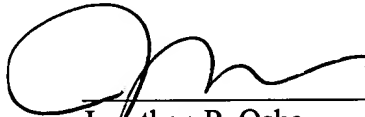
This amount is believed to be the difference between the fees charged by the PTO *prior* to October 1, 2000, and the fees charged *after* that same day. Since Applicant paid the required fees *prior* to the October 1, 2000, change in fees, the above deduction by the PTO is believed to be in error.

Applicant now requests a refund in the amount of \$42.00, be credited to Deposit Account No. 500-591. If there are any questions or concerns regarding this Request, please contact the undersigned.

Respectfully submitted,

Date: \_\_\_\_\_

*2/26/01*



Jonathan P. Osha  
Reg. No. 33,986

ROSENTHAL & OSHA L.L.P.  
700 Louisiana Street, Suite 4550  
Houston, TX 77002

Telephone: 713/228-8600  
Facsimile: 713/228-8778

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
04783.012001

In Re Application Of: Toshihiro SHIMA

Serial No.  
09/577,078

Filing Date  
05/23/2000

Examiner

Group Art Unit  
2776

Invention: **PRINTER CAPABLE OF NETWORK CONNECTION AND METHOD FOR CONTROLLING  
SAID PRINTER**



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
08/03/2000  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

**Submission of Priority Documents**

Certified copy of Japanese Patent Application No. 11 142685, dated May 24, 1999

Certified copy of Japanese Patent Application No. 2000-063791, dated March 8, 2000

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
04783.012001

In Re Application Of: **Toshihiro SHIMA**

Serial No.  
09/577,078

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Examiner

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2776

Invention: **PRINTER CAPABLE OF NETWORK CONNECTION AND METHOD FOR CONTROLLING  
SAID PRINTER**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

☒ Utility application filing fee \$690.00

☐ Design application filing fee \_\_\_\_\_

☒ Total number of independent claims = 9 \$468.00

☒ Total number of claims = 13 \_\_\_\_\_

☒ Multiple dependent claims \$260.00

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$130.00

☐ Petition and fee for filing by other than all the inventors or a person not the inventor \_\_\_\_\_

☐ Fee for processing an application filed with a non-English language specification \_\_\_\_\_

☐ Fee for processing and retention of application \_\_\_\_\_

Total completion of application fees \$1,548.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month    ☐ Two months    ☐ Three months    ☐ Four months    ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

Total time extension fees \_\_\_\_\_

Total fees due \$1,548.00

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
04783.012001

In Re Application Of: Toshihiro SHIMA

Serial No.  
09/577,078

Filing Date  
05/23/2000

Examiner

Group Art Unit  
2776


Invention: **PRINTER CAPABLE OF NETWORK CONNECTION AND METHOD FOR CONTROLLING  
SAID PRINTER**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of \$1,548.00 is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-0591  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 50-0591  
A duplicate copy of this sheet is enclosed.

  
Signature

Dated:

5/28/00

Jonathan P. Osha, Reg. No. 33,986  
ROSENTHAL & OSHA L.L.P.  
700 Louisiana, Suite 4550  
Houston, Texas 77002

Telephone: (713) 228-8600  
Facsimile: (713) 228-8778

I certify that this document and fee is being deposited  
on 09/28/00 with the U.S. Postal Service as  
first class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.

  
Signature of Person Mailing Correspondence

Wendy L. Hippe

Typed or Printed Name of Person Mailing Correspondence

cc:



Socket No: 04783.012001

The Patent and Trademark Office date stamp hereby acknowledges receipt of the following documents:

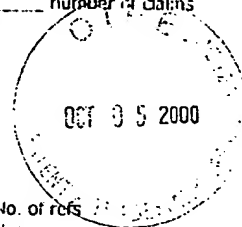
Applicant/Patentee: Toshihiro SHIMA

Serial/Patent No: 09/577,078

Filed/Issued: 05/23/2000

Title: Printer Capable of Network Connection & Method for Controlling Said Printer

- ☐ Application (Original/CIP/Contr./Div.)
- ☒ ☐ pages transmittal; ☐ pages specification; ☐ pages of claims; ☐ number of claims
- ☐ ☐ pages of abstract; ☐ sheets of drawings (formal/informal/amended)
- ☐ Assignment and cover sheet
- ☐ Small Entity Declaration (signed/unsigned)
- ☒ Combined Declaration and Power of Attorney (signed/unsigned)
- ☒ Transmittal letter
- ☐ ☐ pages Amendment/Response
- ☐ ☐ pages Preliminary Amendment
- ☒ Notice of Missing Parts
- ☐ Information Disclosure Statement ☐ pages; ☐ PTO-1449 Form; ☐ No. of refs
- ☐ Issue Fee Form PTOL-65(b) ☐ Request for Patent Copies
- ☒ Check \$ 1,548.00
- ☐ Deposit Account Order Form (2 copies)
- ☒ Other Certificate of Mailing by First Class Mail, 1 page; Certified Copies of Priority Documents: Japanese Application No. 11-142685, dated May 24, 1999, 27 pages; and Japanese Application No. 2000-063791, dated March 9, 2000, 39 pages.



Express Mail No. \_\_\_\_\_ Date: 09/28/00 Init: JPO/WLM *[Signature]*

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

Applicant(s): Toshihiro SHIMA

Docket No.

04783/012001

Serial No.

09/577,078

Filing Date

May 23, 2000

Examiner

Group Art Unit

2776

Invention: **PRINTER CAPABLE OF NETWORK CONNECTION AND METHOD FOR CONTROLLING SAID PRINTER**

I hereby certify that this Request for Refund

(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

Assistant Commissioner for Patents, Washington, D.C. 20231 on

February 20, 2001

(Date)

Tawana L. Garcia

(Typed or Printed Name of Person Mailing Correspondence)

Tawana L. Garcia

(Signature of Person Mailing Correspondence)

Note: Each paper must have its own certificate of mailing.